SUBSTITUENT EFFECTS ON THE FLUXIONAL REARRANGEMENTS AND ISOMERIZATION OF INDENYL SILANES AND STANNANES

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(Received in USA 15 February 1973; received in UK for publication 10 April 1973) Recent studies have shown that the *monohapto* indenyl compounds (I) of a number of main group and transition series metals exhibit dynamic intramolecular (fluxional) rearrangements.¹ In the case of the indenylsilanes (Ia) a kinetically slower hydrogen migration occurs, giving rise to non-fluxional positional isomers.² From the relatively low activation energies for the migrations and the demonstrated intramolecularity of the reactions, it has been proposed that the rearrangements proceed through transition states and/or intermediates which involve little if any charge separation during the migration process.³ The transition state for rearrangement can be pictured as consisting of an indenyl radical and a radical formed from the migrating group M. Examination of the normalized π -electron populations for the indenyl radical (obtained from Hückel MO - calculations) reveals that the smallest π -electron density occurs at C(4) and C(7), while the largest π -electron density occurs at C(2). Thus, substitution of electron donating groups at C(4) and C(7) might be expected to stablize the transition state or intermediate for rearrangement, whereas substitution at C(2) would be expected to destabilize the transition state or intermediate relative to the unsubstituted compounds.

Accordingly, we have synthesized the 2-methyl- (II) and 4,7-dimethyl-indenyl (III) derivatives of trimethylsilane and -stannane, and we report here the activation parameters for the metallotropic shift and in the case of the silanes the prototropic isomerization.



At room temperature, compounds IIa and IIb exhibit NMR spectra characteristic of the slowexchange, limiting spectrum. Heating IIa to 185° results in coalescence of the multiplet assigned to $CH_3(2)$ and changes in the H(1) and H(3) resonances characteristic of the onset of fluxional behavior. Compound IIb exhibits coalescence of the H(1) and H(3) signals at 110° . By contrast for compound IIIa the coalescence of the $CH_3(4)$ and $CH_2(7)$ signals occurs at 125° while for IIIb the corresponding methyl resonances coalesce at -15° and the coalescence of H(1) and H(3) occurs at 27° . The activation parameters for these rearrangements are given in Table I. It is noteworthy that variation of the position of the methyl substituent(s) alters the ΔG^{\ddagger} for both Si and Sn migration by -20 kJ mol⁻¹ (-4.5 kcal mol⁻¹) in a manner parallel to that predicted from consideration of the π -electron densities in the indenyl moiety.

Ring substitution in the case of the silanes profoundly affects both the rate of isomerization (<u>via</u> hydrogen migration) and the product distribution at thermal equilibrium. Compound IIa is converted upon themolysis at 200° for 24 hrs to a mixture of 91% IIa and 9% 3-isomer while compound IIIa isomerizes in 35 min at 182° to 15% IIIa and 85% 2-isomer with no evidence for the formation of the 3-(trimethylsilyl)isomer. The equilibrium distributions of positional isomers are given in Table II. The free energies of activation for the reversible isomerization of IIIa to the 2-trimethylsilyl isomer are 146 kJ mol⁻¹ (34.8 kcal mol⁻¹) and 139 kJ mol⁻¹ (33.2 kcal mol⁻¹) respectively.

It should be noted that steric factors may be at least in part responsible for the effects observed in these compounds, although these alone seem insufficient to account for the observed results.⁴

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Table I

Activation Parameters for the Fluxional Rearrangements of Substituted Indenyl Silanes and Stannanes $(\Delta G^{\ddagger} \text{ in kJ mol}^{-1})^a$.

Compound	Ia	Ib	IIa	IIb	IIIa	IIIb
∆G [‡]	100 ^b	63	111	78	91	59
	(23.8) ^C	(15.0)	(26.5)	(18.6)	(21.8)	(14.0)

a) Evaluated at the coalescence temperature from the equation

k = (kT/h) exp($-\Delta G^{\dagger}/RT$) where k = $(\pi/\sqrt{2})(\Delta \gamma)$ at coalescence.

- b) Data from reference la.
- c) Parenthetical values in kcal mol⁻¹.

Table II

Isomer Distribution^a at Thermal Equilibrium.

$(4) R(3)$ $(7) R(2)$ $R(7) SiMe_3$ $R(7) R(2)$			SiMe SiMe ₃ 2-isomer 3-isomer			
R(2)	R(3)	R(4)	R(7)	1-isomer	2-isomer	3-isomer
н	н	н	н	54	31	15
Me	Н	н	Н	91	b	9
Ĥ	Me	Н	H	84	16	b
н	н	Me	Me	15	85	b

a) mole percent

b) not observed (<2%)

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- 4. Thermolysis of diphenylmethylsilylindene gives at equilibrium 34% 1-isomer, 38% 2isomer, and 28% 3-isomer compared with 54%, 31%, and 15% respectively for trimethylsilylindene. Unfavorable steric interaction between the bulkier diphenylmethylsilyl group in the 3-position and the 4-hydrogen would be expected to reduce the amount of the 3-isomer relative to the trimethylsilyl analog. G. A. Taylor and P. E. Rakita, to be published.